

**LANCASHIRE COMBINED FIRE AUTHORITY
AUDIT COMMITTEE**

Meeting to be held on 24 September 2019

**RISK MANAGEMENT
(Appendix 1 refers)**

Contact for further information:

Keith Mattinson – Director of Corporate Services – telephone 01772 866804.

Executive Summary

The report highlights action taken in respect of corporate risk since these were last reported to the Audit Committee.

Recommendation

The Committee is asked to:-

- note the actions taken;
- endorse the revised corporate risk register.

Information

The latest review of the corporate risk register has identified one new risk which warrants inclusion on the corporate risk register.

Discontinued or long term malfunction in the KPI management software product (CORVU)

The CORVU system was originally scheduled for replacement last year. Until such time as it is replaced there is a risk that the system is unable to meet our requirements impacting on or ability to provide timely performance information.

As such the following control measures have been instigated in order to minimise the risk:-

- Close contract management with the provider to ensure that the product remains functional and fit for purpose;
- Staff member with lead responsibility is highly trained in the product and can carry out some maintenance in order to support functionality;
- Some alternative work arounds identified that will be resource intensive and may not provide the existing quality of data and subsequent analysis.

We are continuing to review the systems utilised in the sector and comparable users in order to identify a replacement product in a timely manner. As well as carrying out a review of alternative work solutions in order to ensure that CFA Performance reports and Service Delivery District level reports remain deliverable.

We will prioritise the upskilling of the new GIS / analyst to reduce the single point of failure risk that currently exists.

In light of this it is proposed to include this as a medium risk on the corporate risk register, with a review date of 31 March 2020.

Existing Risks

Of the existing risks 2 have been reviewed, and an updated corporate risk register is attached as appendix 1, with changes summarised below:-

		Update since last meeting	Proposed Risk Score	
1	Insufficient resources due to poor funding settlement, inability to make required savings, additional financial pressures such as RDS pensions etc., plus council tax limits via local referendum resulting in Authority being unable to set a balanced budget.	No change, not due to report till 31/3/20	16	High
2	Premises Risk Information: That operational staff do not have available adequate and reliable premises information to efficiently resolve operational incidents: Risk information is provided to operational staff based on premises information and premises risk are identified on a continuous basis although this is not consistent throughout the Service.	No change, not due to report till 31/12/19	9	Medium
3	Insufficient staffing resources, due to Industrial Action, to deal with operational demand and fulfil statutory responsibilities.	No change, not due to report till 31/3/20	12	Medium
4	Lack of availability of water supplies for fire fighting prevents effective fire fighting resulting in additional damage to property and increased risk to life.	Previously discharged		
5	The increasing age profile of operational staff could adversely affect our ability to deliver effective emergency response.	Previously discharged		
6	Operational staff do not have the required skills to operate safely at an incident with the potential to result in F/F injuries or fatalities.	No change, not due to report till 31/3/20	9	Medium

7	Failure of key ICT systems resulting in disruption to services.	No change, not due to report till 30 June 2020	9	Medium
8	Loss of corporate reputation through negative publicity.	No change, not due to report till 31/12/19	9	Medium
9	Retention and recruitment of RDS staff impacts on RDS appliance availability.	No change, not due to report till 31/12/19	9	Medium
10	Lack of workforce planning resulting in significant over/under provision of staff and resulting impact on service and finances.	Previously discharged		Medium
11	Lack of compliance with legislation resulting in prosecution or compliance order.	Previously discharged		
12	Ineffective Health and Safety in the workplace, resulting in prosecution, intervention fees etc.	No change, not due to report till 31/12/19	9	Medium
13	Lack of effective Information management impacting on service delivery and support or leading to a breach of data protection/freedom of information or a loss of sensitive/personal information.	No change, not due to report till 31/12/19	9	Medium
14	Delayed mobilisation, impacting on service delivery.	No change, not due to report till 31/12/19	9	Medium
15	High levels of staff absence due to outbreak of ebola.	Previously discharged		
16	Lack of clarity on future of FRS, leading to inertia.	Previously discharged		
17	Failure of ESMCP to deliver a viable communication facility.	No change, not due to report till 31/12/19	9	Medium
18	Inability to maintain service provision in spate conditions.	Previously discharged		
19	Failure to maximise the opportunities that technological advances present due to a lack of capacity within the ICT department, and an inability of staff to keep pace with new development that are implemented.	No change, not due to report till 31/3/20	9	Medium
20	Loss of support for Vector Incident Command product with the product name Command Support System (CSS) leading to ineffective command function at large incidents.	No change, not due to report till 31/12/19	9	Medium

21	Risk of rapid external fire spread in high rise premise resulting in a major incident.	No change, not due to report till 31/12/19	10	Medium
22	Failure to maximise collaborative opportunities presented by Policing and Crime Act 2017	No change, not due to report till 31/12/19	9	Medium
23	Lack of leadership capacity impacting on delivery of services.	No change, not due to report till 31/12/19	9	Medium
24	Insufficient preparation for inspection programme leading to opportunities being lost in terms of national learning and Lancashire's ability to effectively communicate its progress and awareness.	Previously discharged		
25	The outcome of the EU court ruling on the Matzak case relating to on-call arrangements in Belgium has a detrimental impact on service provision and/or cost.	Legal opinion is that any applicability of this ECJ guidance is limited to working time and rest periods and not to any financial payments. The guidance has not been applied within the UK legal system and in view of there being no financial incentive it is currently considered unlikely that an application to the courts will be made. Proposal to discharge this risk from the corporate risk register.	Proposal to discharge	
26	Increase in costs of and/or lack of availability of goods and services, following Brexit.	The position has not changed since the risk was considered in March, there remains a great deal of uncertainty around the impact of this on costs within the Service. Until such time as Brexit actually occurs and any price fluctuations become apparent it is impossible to be more specific. However it is worth noting that our general reserve requirement includes an allowance of £60k to meet increasing cost pressures	Remains at 12	Medium

27	Increase in costs associated with changes to pensionability of allowances	No change, not due to report till 31/12/19	16	High
----	---	--	----	------

Financial Implications

None

Human Resource Implications

None

Equality and Diversity Implications

None

Environmental Impact

None

Business Risk Implications

The improvement in risk management arrangements should result in reduced business risk

Local Government (Access to Information) Act 1985

List of Background Papers

Paper	Date	Contact
None		
Reason for inclusion in Part II, if appropriate:		